

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): S. HIGAKI, et al

Serial No.: Not yet assigned

Filed: January 16, 2004

For: DISK ARRAY AND METHOD FOR READING/WRITING DATA  
FROM/INTO DISK UNIT

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97 & 1.98**

**MS DD**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

January 16, 2004

Sir:

In the matter of the above-identified application, this Information Disclosure Statement is being submitted with the following citation as specified in 37 CFR §1.97(d).

"A copy of any patent, publication or other information listed in an Information Disclosure Statement is not required to be provided if it was previously cited by or submitted to the Office in a prior application, provided that the prior application is properly identified in the statement and relied upon for an earlier filing date under 35 U.S.C. §120."

Applicant(s) are submitting herewith a copy of Form PTO-1449 which list documents cited in parent application(s) Serial No. 10/457,387, filed June 10, 2003, and Serial No. 09/382,774, filed August 25, 1999, now U.S. Patent No. 6,615,314.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus Deposit Account No. 01-2135 (500.37509CX2) please credit any excess fees to such deposit account.

Respectfully submitted,



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<b>FORM PTO-1449</b> U.S. Department of Commerce (Rev. 4/92) Patent and Trademark Office  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO.  <b>500.37509CX2</b>	SERIAL NO.  <b>Not yet assigned</b>
APPLICANT <b>S. HIGAKI, et al</b>		
FILING DATE <b>January 16, 2004</b>		GROUP

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6 1 4 8 4 1 4	11/00	Brown et al	714	9	
	5 5 6 6 3 1 6	10/96	Fechner et al	711	114	
	5 7 3 7 7 4 5	4/98	Matsumoto et al	711	114	
	5 9 3 0 8 1 7	10/96	Mizuno et al	711	114	
	5 6 1 1 0 6 9	3/97	Matoba	711	114	
	5 8 2 6 0 4 6	10/98	Nguyen et al	710	305	
	5 8 6 2 3 1 3	1/99	Johnson et al	714	6	
	5 6 1 7 4 2 5	4/97	Anderson	714	5	
	6 6 1 5 3 1 4	9/03	Higaki			
	5 5 5 0 9 7 5	8/96	Ichinomiya et al	714	51	

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	ABSTRACT
					YES NO
7 2 1 0 3 3 3	8/95	Japan			XX

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	"Detailed Commentary of SCSI-2 (Latest SCSI Standard and Command Reference)", pp. 122-127.

**EXAMINER****DATE CONSIDERED**

**EXAMINER:** Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])